

SMUD SHADE TREE AND COOL ROOF PROGRAMS: CASE STUDY IN MITIGATING THE URBAN HEAT ISLAND EFFECTS

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SUBJECT

- 1) case studies
- 2) energy effects/ CO2 impact

ABSTRACT

The primary purpose of the Sacramento Municipal Utility District's (SMUD) Shade Tree and Cool Roof programs is to save energy and capacity for the District. A secondary and long-term objective is to create an urban environment in Sacramento with healthy urban forest and highly reflective roof tops that would mitigate the summer urban heat-island effect. The summer urban heat-island effect is a phenomena where urban areas have the ambient air temperature 5 to 10 degrees Fahrenheit warmer than the surrounding rural areas. In addition, the other long-term objectives include improving the region's air quality, and enhancing the quality of life in the region.

This paper examines how utility sponsored urban tree planting program evolved to achieve continuous improvements and refinements in program design, operation and energy savings since the program inception in 1990. The nation's largest and longest running shade tree planting program, sponsored by the SMUD in collaboration with the Sacramento Tree Foundation (STF), is used as a case study. Results of impact evaluation studies as well as market research analysis and quality assurance inspection results are presented, along with program modifications that were implemented to improve program effectiveness. This paper will also examine key issues involved in evaluating benefits (avoided cost of energy and capacity and carbon sequestration) of an urban tree planting program from the perspective of an electric utility, as well as from a wider perspective of public and private entities that may benefit from such programs.

In addition, this paper examines how utility sponsored cool roof rebate program evolved since January of 2001 to achieve continuous improvements in program design, and operation, especially in light of the changes in the California government building code standards for the commercial roofs in 2005 (Title 24). The SMUD's Cool Roof program started on January 1st 2001 and was the nation's first utility rebate program for the cool roof technologies. On January 1st 2006, the Cool Roof program expanded to include the rebates for the residential roofs for the first time in the nation.

SHADE TREE PROGRAM-- BACKGROUND AND PROGRAM DESCRIPTION

In 1990, SMUD in conjunction with the STF initiated the nation's largest organized shade tree program to reduce building cooling loads. The program's primary objective was to plant shade trees

that directly shade air-conditioned building structures. A secondary objective of the program was to create an urban forest that would help mitigate the *urban heat island* effect-- the increase in summer outdoor temperatures caused by urban development. Potential non-energy benefits of the program include improving the region's air quality, enhancing esthetics and quality of life in the region, and improving property values of program participants.

The Shade Tree program provides a comprehensive and long-term program in tree planting, management, education, and citizen participation. The program is implemented in collaboration with the Sacramento Tree Foundation (STF) a non-profit community based organization whose goal is to improve the quality of life in the Sacramento area by inspiring and motivating the community to plant and perpetuate a healthy urban forest. SMUD believed that the involvement of a community non-profit group would be an important ingredient in the success of the program.

Utility customers expressing interest in participating in the Shade Tree program contact SMUD, which schedules an appointment for a site visit by one of the STF Community Foresters. During the site visit, customers receive tree planting demonstration DVDs in order to learn about proper planting and maintenance of shade trees. During site visits, Community Foresters and customers mutually select appropriate tree species and locate specific sites for each tree planting. Shortly thereafter, STF staff delivers to customers the requested trees in five-gallon containers free-of-charge. Customers are then responsible for planting and caring for the trees they received.

From SMUD's perspective, the tree-planting program represents a type of Demand Side Management programs that have a tangible economic value to the utility. This value can be quantified based on avoided supply costs of energy and capacity during high cost of summer peak load periods, or the decrease in supply costs to the utility due to reduced electrical loads. SMUD's total investment in the program since the program inception in 1990 has been about 30 million dollars and approximately 1.5 million dollars for 2008. Through 2008, over 450,000 trees have been planted through the program.

SHADE TREE PROGRAM-- IMPACT ANALYSIS

In 1995, SMUD and the U.S. Department of Agriculture Forest Service's (USDAFS) Western Center for Urban Forest Research and Education collaborated closely on an impact evaluation study. Pursuant to the process evaluation recommendation, the study was designed to develop more accurate methods for assessing the energy and capacity saving impacts and cost-effectiveness of SMUD's Shade Tree program. In 1994, SMUD staff performed on-site surveys on a random sample of 326 residential sites at which trees had been planted through the program from 1991 to 1993. Staff collected detailed information on tree mortality rates, tree location (i.e. tree size, orientation, & distance to the building) and building characteristics (i.e. square footage, vintage, number of building stories, type of cooling system, orientation, number and size of the windows).

USDAFS used the data collected through the on-site visits to perform shade and building simulation modeling. As part of this study, the impacts of individual trees on utility electric loads (energy and peak capacity) were estimated for 72 different shading scenarios. These scenarios represented mature trees of three different sizes (Small, Medium, and Large), eight cardinal orientations (N, NE, E, SE,

S, SW, W, and NW), and three distances (Adjacent 0-15ft, Near 16-30ft, and Far 31-50ft) from a typical post-1990 home in Sacramento.

The simulation model used for estimating electric load impacts from trees planted through the Shade Tree Program was calibrated to statistical estimates of average Unit Energy Consumption (UECs) and demand load shapes for homes with central electric cooling. These UEC estimates were developed by SMUD for use in utility program planning and load forecasting. Additional adjustments were made based on the percentage of program participants that were estimated to have central air conditioning or other types of electric cooling equipment.

The impact evaluation report was issued internally in 1995. The results revealed that the average cooling energy and demand savings per mature tree for central air & heat pumps homes (88% of all homes in the program) was 153 KWh and 0.056 KW. However, 4.2% of program participants reported having only room/wall air conditioners, and 1.7% reported having evaporative coolers. These cooling systems were assumed to use only 25% and 33% respectively of the cooling energy used by customers with central air conditioning systems. The remaining 6% of the program participants reported having no electric cooling system. After the adjustments, the SMUD weighted average energy and demand saving impacts per tree were lowered to 95 KWh and .038 KW.

The load impact estimates were also combined with data collected in on-site visits to estimate additional savings from shading of adjacent neighbor homes. Results of this analysis indicated that up to 23 percent of trees planted might provide benefits from direct shading of adjacent buildings. Overall, the analysis estimated that the additional reduction in electric load resulting from shading of adjacent buildings equaled about 15 percent of that from direct shading of participants' homes.

Finally, the impact evaluation resulted in a standardized economic value for the estimated reduction in energy and capacity attributable to shade trees. This value, which incorporated the impact from shading both a participant's home and an adjacent home, was converted to a dollar value of avoided supply cost per tree. Load impacts over the life of a shade tree were given a dollar value by using the SMUD's avoided cost of power in discounted present value format (i.e. based on SMUD's marginal energy cost of 4 cents per KWh at that point in time and capacity cost of advanced renewable technologies and a discount rate of 6.6%) over a 30-year planning horizon. This dollar value will be referred to hereafter as "Present Value Benefit" or "PVB".

Figure 1 summarizes estimates of the average per tree program PVBs for trees planted during the 1991-1993 program period. The average estimated program PVBs for each tree planted to the west of participants' homes (\$120) was estimated to be three times as large as the average benefits for all trees planted through the entire program (\$39). In eastern and southern orientations (east, southeast, south, and southwest), average estimated program benefits from shading of participant homes ranged from about \$19 to \$35 per tree. Figure 1 illustrates the relative values of various tree-siting orientations. These values gave the program implementers a strong message of the relative importance of strategic tree-siting.

Figure 2 provides another perspective on the importance of orientation in tree planting. The figure compares the percentage of total number of trees planted in each orientation during 1991-1993 to the percentage of total estimated program benefits attributable to trees planted in each of these locations.

As Figure 2 shows, trees planted on the west accounted for only 18 percent of trees planted through the program, but provided nearly one-half (47 percent) of program benefits. Trees planted on the north, northeast, and northwest of participants' homes represented 21 percent of all trees planted, but contributed only about eight percent of total program benefits.

Figure 1
Total Average Present Value of Benefits (PVB) per Tree by Tree Orientation

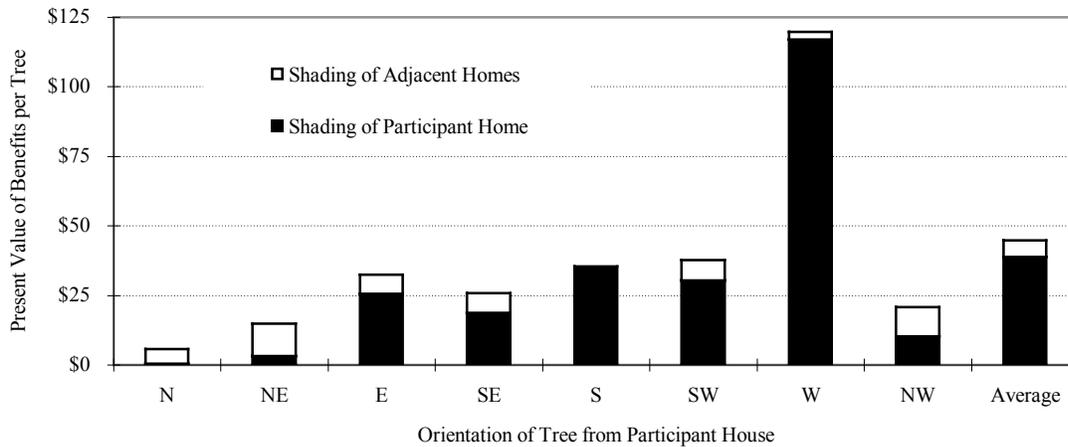
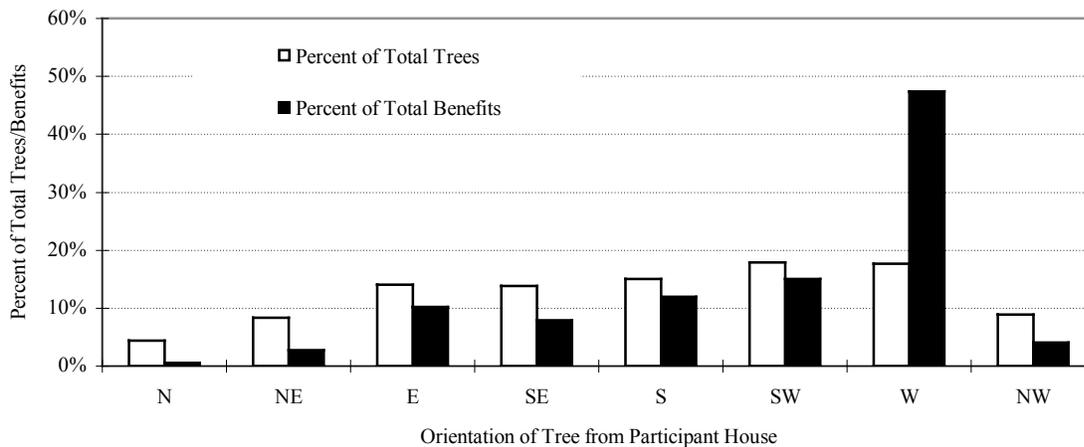


Figure 2
Percent of Total Trees Planted and Total Program Benefits by Tree Orientation



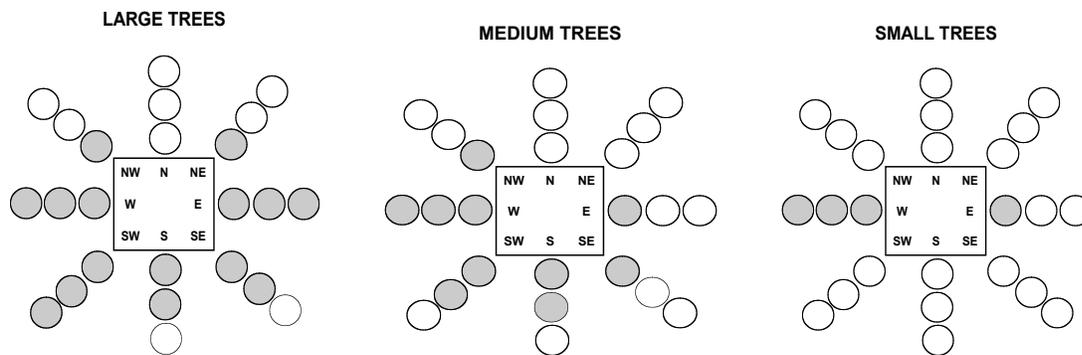
The most important contribution of the impact evaluation was a change in the program focus. Instead of tracking program performance in terms of the number of trees planted, the program is now evaluated in terms of estimated Present Value Benefit (PVB) of each planted tree (as expressed in dollar terms). STF staff refers to this program modification as the “paradigm shift”. Community Forester job performance is now measured in terms of the achieved weekly and monthly PVB. The

new tree-siting guidelines resulted in STF staff planting fewer shade trees, while the overall energy and capacity savings have increased.

These new tree-siting criteria have been expressed in terms of the 72 tree shading scenarios identified in the impact evaluation. The 72 tree shading scenarios pertain to the tree's size, orientation to, and distance from the home it is shading. The tree shading scenarios are used to direct tree planting into orientations, distances, and appropriate tree sizes that represent cost-effective tree-sitings. The 72 tree shading scenarios also provide a "scorecard" used by Community Foresters in the field to maximize the benefits of shade tree planting on a site-by-site basis. Table 1 and Figure 3 illustrate the PVBs for the 72 tree shading scenarios. The shaded scenarios indicate the tree-sitings that are considered to be cost-effective and allowed in the program.

Figure 3

What is Allowed Under the New Tree-Siting Guidelines



Shaded sites have higher than the minimum \$20 per tree PVB. Distance of tree from building is based on the following categories: adjacent or closest to the structure (0 to 18 ft), near (18-30), and far or farthest from the structure (30-50 ft).

Previous tree-siting guidelines addressed minimum distances from buildings and other structures for safety reasons, but did not address maximum distances or orientation relative to buildings to be shaded. To establish minimum PVB criteria for correctly siting cost-effective trees, the siting guidelines were modified to require that the incremental program benefit of each additional tree planted at each site exceed \$20, which is SMUD's incremental cost to plant that additional tree. In addition to indicating the minimum cost-effectiveness threshold (>\$20), the PVBs for the 72 individual tree shading scenarios may be used to maximize the benefits at each tree planting site.

In addition to the tree-siting guidelines, the impact evaluation resulted in development of a new program database that was designed specifically to track the achieved tree PVBs. Also, tree-siting guidelines were relaxed to allow for the first time to plant trees to shade adjacent neighbor homes, as long as the PVB is greater than minimum of \$20. In order to maximize the optimum shading by each individual tree along a building wall, the Shade Tree program instituted for the first time guidelines regarding the proper spacing between shade trees, and disallowing "redundant tree shading" practices.

In 2008, researchers Geoffrey H. Donovan and David T. Butry from the USDA Forest Service, Pacific Northwest Research Station analyzed monthly electricity usage billing data of 460 single-family homes in one randomly selected Sacramento zip code. The Pacific Northwest Research Station had high definition aerial photos of that particular zip code and was able to extract tree canopy shading coefficients for those 460 homes. That was the first large-scale statistical regression study to use utility billing data to show that trees can reduce electric energy consumption. The study found that the average amount of east, south and west side tree canopy combined cover reduced summertime electricity use by 5.2 percent or 185 KWh. Under SMUD's electricity pricing system, the combined east, west and south side tree cover reduced summertime electricity bills by an average of \$25.16. These results are very much in line with the results of the earlier energy simulation study.

Table 1
Present Value of Avoided Cost Benefits (PVB) per Tree

| <i>NORTHWEST</i> | | | <i>NORTH</i> | | | <i>NORTHEAST</i> | | |
|-------------------------|-----------------|------------|---------------------|-----------------|------------|-------------------------|-----------------|------------|
| Tree Size | Distance | PVB | Tree Size | Distance | PVB | Tree Size | Distance | PVB |
| LARGE | Adjacent | \$44.41 | LARGE | Adjacent | \$3.65 | LARGE | Adjacent | \$30.23 |
| MEDIUM | Adjacent | \$12.08 | MEDIUM | Adjacent | \$2.25 | SMALL | Adjacent | \$0.00 |
| LARGE | Near | \$5.62 | LARGE | Near | \$.84 | SMALL | Near | \$0.00 |
| SMALL | Adjacent | \$5.06 | LARGE | Far | \$0.00 | SMALL | Far | \$0.00 |
| MEDIUM | Near | \$3.37 | MEDIUM | Far | \$0.00 | MEDIUM | Near | \$0.00 |
| LARGE | Far | \$2.81 | MEDIUM | Near | \$0.00 | MEDIUM | Far | \$0.00 |
| SMALL | Near | \$1.69 | SMALL | Adjacent | \$0.00 | LARGE | Near | \$0.00 |
| MEDIUM | Far | \$1.40 | SMALL | Far | \$0.00 | LARGE | Far | \$0.00 |
| SMALL | Far | \$1.12 | SMALL | Near | \$0.00 | MEDIUM | Adjacent | \$0.00 |

| <i>WEST</i> | | | <i>EAST</i> | | |
|--------------------|----------|----------|--------------------|----------|---------|
| LARGE | Near | \$184.43 | LARGE | Adjacent | \$69.26 |
| LARGE | Adjacent | \$170.60 | LARGE | Near | \$61.96 |
| LARGE | Far | \$154.69 | MEDIUM | Adjacent | \$49.32 |
| MEDIUM | Adjacent | \$134.33 | LARGE | Far | \$32.58 |
| MEDIUM | Near | \$130.96 | SMALL | Adjacent | \$14.32 |
| MEDIUM | Far | \$88.69 | MEDIUM | Near | \$2.81 |
| SMALL | Adjacent | \$65.90 | SMALL | Near | \$2.81 |
| SMALL | Near | \$38.13 | MEDIUM | Far | \$.28 |
| SMALL | Far | \$22.89 | SMALL | Far | \$.28 |

| <i>SOUTHWEST</i> | | | <i>SOUTH</i> | | | <i>SOUTHEAST</i> | | |
|-------------------------|----------|---------|---------------------|----------|----------|-------------------------|----------|---------|
| LARGE | Adjacent | \$88.37 | LARGE | Adjacent | \$105.78 | LARGE | Adjacent | \$80.82 |
| MEDIUM | Adjacent | \$53.58 | MEDIUM | Adjacent | \$74.92 | MEDIUM | Adjacent | \$31.35 |
| LARGE | Near | \$47.50 | LARGE | Near | \$58.28 | LARGE | Near | \$20.50 |
| LARGE | Far | \$14.60 | MEDIUM | Near | \$11.51 | MEDIUM | Near | \$6.46 |
| MEDIUM | Near | \$13.76 | SMALL | Adjacent | \$7.58 | LARGE | Far | \$6.18 |
| SMALL | Adjacent | \$6.46 | LARGE | Far | \$6.74 | SMALL | Adjacent | \$2.81 |
| MEDIUM | Far | \$3.93 | MEDIUM | Far | \$.28 | MEDIUM | Far | \$.84 |
| SMALL | Near | \$1.40 | SMALL | Far | \$0.00 | SMALL | Near | \$.28 |
| SMALL | Far | \$.28 | SMALL | Near | \$0.00 | SMALL | Far | \$.00 |

NOTES: Shaded scenarios indicate trees with Present Value Benefits over the minimum allowed \$20, and thus those tree siting scenarios are allowed in the program. Distance of tree from building is based on the following categories: adjacent (0 to 18 ft), near (18-30), and far (30-50 ft).

SHADE TREE PROGRAM—TREE BENEFITS ESTIMATOR

The Web-based application was designed and developed by SMUD staff to help other utilities in the USA to quantify and track the benefits of planting shade trees. It estimates the amount of energy savings (KWh saved), capacity savings (KW saved) and carbon and CO₂ sequestration (lbs) resulting from trees planted in urban and suburban settings. The Tree Benefits Estimator can be used by those who have no formal background in urban forestry or Demand Side Management (DSM) utility practices. The tool is free and posted at www.SMUD.org site.

One of the greatest challenges facing public power today is environmental stewardship. It is increasingly important that public power utilities not only take steps toward local environmental improvements, but measure the effectiveness of their efforts. The measurements are important to local communities in understanding how they can control their environmental future and the cost of doing so. It is also important for utilities to be able to measure environmental impacts that in the future may be reported to state and federal governments on a voluntary or mandatory basis.

The Tree Benefit Estimator was based on the experience of the SMUD's Shade Tree program. In developing this simplified and easy-to-use method for estimating the tree planting benefits, broad assumptions have been made regarding trees' impact on direct shading benefits, impacts of indirect or evapotranspiration effect, heating penalty in winter months, tree growth rates and tree survival rates. As a result, this method may yield less precise results than a more tailored approach. In addition, staff from the USDA Forest Service's Center for Urban Forest Research located at the University of California, Davis, has reviewed the Tree Benefits Estimator.

The following input items about trees are needed:

1. average cost of electricity in summer and winter months (cost of KWh)
2. tree species (common OR botanical name);
3. age of the tree from the tree planting date OR the tree diameter at the breast height (DBH).
4. number of trees planted (1 or more)
5. location in the US, which would determine the climate zone
6. direction your tree faces (for trees planted next to buildings);
7. distance between the tree and the building that is being shaded;

To take into account different climate zones, you will need to input information about the standardized climate zones or regions in the US and then the Tree Benefit Estimator© would calculate the impact of ambient temperature and relative humidity on the summer cooling load and winter heating requirements using the Cooling and Heating Degree Days and Latent Enthalpy Hours data. The summer cooling load requirements and winter heating penalty are essentially a function of the cooling and heating degree days and direct shading impacts. The KWh impacts of the tree evapotranspiration effect (or indirect effects) are essentially a function of the Latent Enthalpy Hours. However, regardless of whether a tree is planted for the energy saving benefits, the method will estimate carbon and CO₂ sequestration values for the specified tree species.

The methodology is based on the "standard" nursery raised trees which are typically sold in 5-gallon containers, and which are usually 1 inch in diameter at the tree base (1 foot above the ground). (SMUD Shade Tree program has experienced that 5-gallon container trees will grow quickly and

catch up with the larger 15-gallon container trees within the next couple of years and thus the methodology applies for both 5- and 15-gallon container trees.) This methodology assumes that the standard (5 gallon) trees are "0" age when planted. The Tree Benefit Estimator© will provide the estimates for the large selection of common species of deciduous, broad leaf evergreen and conifer trees in the US.

The age of the tree from the planting date or the tree diameter at the breast height (DBH) information will then determine the tree growth rate factor, which will in turn determine the level of energy and carbon sequestration benefits for any year. For the program trees or the trees planted by the utility, the age of the tree from the planting date or the tree diameter at the breast height and the tree survival rate factor in that year will then determine together the program tree factor, which will then determine the level of energy and carbon sequestration benefits for the program trees in any year. In other words, the combination of the tree growth rate and the tree survival rate will determine the final multiplier factor that will estimate the appropriate level of the program tree benefits for any year. Given the age of the program tree from the tree planting date, the estimator will automatically multiply the energy, capacity and carbon sequestration benefit values of mature trees with the appropriate Tree Growth and Survival Rate factor.

COOL ROOF PROGRAM -- BACKGROUND AND PROGRAM DESCRIPTION

The Residential Cool Roof Program follows SMUD's successful Commercial Cool Roof program that began in 2001 and ended at the end of 2005. SMUD was the first utility in the nation to offer rebates for cool roof technologies for commercial buildings (began in January of 2001). In 2005 the California Energy Commission adopted new Title 24 standards for energy efficiency for new commercial buildings in California, and cool roof technology was part of the Title 24 standards for the first time. SMUD does not offer rebates for any measures that are considered "standard technology" and thus SMUD stopped offering rebates for commercial cool roof applications. SMUD's experience with the commercial cool roof technology helped influence development of the SMUD's residential cool roof program. The new Title 24 standards for cool roofs do not apply to residential buildings, which was the impetus for SMUD to initiate the Residential Cool Roof Program.

In 2006 SMUD expanded the program to provide similar services to residential customers, but only those with flat roofs. In 2007 the program was expanded to include cool roof products for steep slope roofs as well as flat roofs. SMUD provides incentives for installation of qualified roofing materials to residential property owners, which includes single family homes, apartments, and mobile homes. New construction projects are excluded. The participating roofing contractors agree to install Cool Roof products that meet minimum SMUD specifications, which include being listed on the qualifying EPA Energy Star product list and meeting the initial solar reflectance and initial thermal emittance standards as rated by the Cool Roof Rating Council. Below are the specific technical requirements and incentives amounts for flat and steep roofs:

Flat slope roofs: 20 cents per sq.ft. rebate, reflectivity >75%, emissivity >75%

Steep slope roofs: 10 cents per sq.ft. rebate, reflectivity >40%, emissivity >75%

An additional program requirement is that all residential mobile homes and single-family and multi-family homes must have an electrical central air conditioning (AC) system. Customers whose homes have evaporative cooling systems or wall AC units are not eligible for rebates.

Cool roofs are highly reflective and have substantial thermal emittance, which helps block heat from being absorbed through the roof and into a building. Roof surface temperatures can be reduced by up to 50 degrees. Flat cool roofs are white, while steep slope cool roof applications are commonly the light weight and light color tiles made from concrete or clay. The color of the tiles can be in any traditional roof top color, as long the products meet the minimum solar reflectivity of 40%. In last year of 2008 the program completed 119 residential cool roof projects with a total area of 189,000 square feet. The average project was 1700 square feet with an incentive payment of \$350.

COOL ROOF PROGRAM-- IMPACT ANALYSIS

Using the energy simulation modeling programs, SMUD staff had estimated conservatively the following average energy and demand savings for the Commercial Cool Roof Program participants:

Average energy cooling load savings of 20%

Average energy cooling load savings are 0.15 kWh/year/ft².

Average demand savings are 0.25 W/ ft².

August 2005, SMUD consultant the ADM Associates had prepared the impact evaluation analysis for the SMUD's Commercial Cool Roof program. The realized energy use savings were estimated through statistical analysis of billing data for the program participants. The analysis of billing data involved applying regression analysis to monthly billing data for a group of 125 commercial buildings participating in the program, using regression analysis for billing data for periods before and after the installation of the cool roof measures. From a statistical perspective, the statistical model fitted fairly well, the R² value for buildings with central a/c systems were reasonably high, and the coefficient estimates were generally statistically significant at the 5% level. The results are presented in the following Table 2. The savings percentages reported in Table 2 appear to be within a reasonable range, except for the group with central air conditioning, where the estimates are rather too high.

Table 2. Estimates for Pre- and Post-Installation kWh Usage per Day and Daily kWh Savings for Regression Groups

| <i>Daily kWh Usage and Savings</i> | <i>Regression Group</i> | | | |
|------------------------------------|---------------------------------|--------------------------------|--------------------------------|--------------------|
| | <i>Offices with Package A/C</i> | <i>Retail with Package A/C</i> | <i>School with Package A/C</i> | <i>Central A/C</i> |
| Pre-installation | 1,369.88 | 797.98 | 1,599.24 | 8,882.34 |
| Post-installation | 1,261.83 | 725.91 | 1,456.57 | 4,908.21 |
| Savings | 108.05 | 72.06 | 142.67 | 3,974.12 |
| Savings % | 7.9% | 9.0% | 8.9% | 44.7% |
| Number of buildings | 45 | 35 | 29 | 19 |

For the residential buildings, SMUD's staff estimated the program weighted-average annual energy savings for both the low (5% of the total residential roofs) and steep slope roofs to be 505 kWh per year. These energy simulation estimates were weighted for the various housing vintage and HVAC equipment types for both steep and flat roofs. Customer savings are less due to a heating penalty. The reflectance of the cool roof, while reducing cooling loads in summer, increases heating loads slightly in winter. Consequently, occupants will experience reduced air-conditioning bills but increased heating bills. Summer bill savings are greater than winter bill increases, resulting in a net annual energy-bill savings.

Weighted-average annual heating penalty reduction was estimated as -5.5 therms or \$6.13 additional cost for greater heating load. The weighted-average energy-bill savings, including heating penalty, were estimated to be \$88 per year. It is important to note that these are net savings. The annual net savings varied from \$179 for the homes with the low slope roofs to \$17 for the highly energy efficient homes built recently in last few years with the steep slope roofs.

There is a dramatic difference in energy savings between the low and steep slope cool roof residential applications. In general residential buildings with flat (or low slope) roofs generate much higher levels of energy savings for this program. SMUD staff has estimated that flat or low slope cool roofs can generate on average annually 1,840 kWh in energy savings (based on the average size 1,539 sq.ft. homes). On the other hand, the estimates for the average weighted energy savings for the steep slope roof applications (based on 1,694 sq.ft. average home size and given the average building vintage mix) is 417 kWh annually.

The most important reason for this fact is that flat roof installations use cool roof technologies that have relatively high levels of solar reflectivity, such as single-ply membranes that have solar reflectivity greater than 80%. On the other hand, steep slope roof installations use cool roof technologies that have relatively low levels of solar reflectivity, such as light colored tiles, which have reflectivity of 40% to 50%. In addition, the flat roof residential buildings in SMUD service territory are older vintage construction homes built in 1950s and 1960s, which have generally much lower energy efficiency HVAC and building code standards. Subsequently the energy savings values from the cool roof installations are much greater and more prominent in these residential buildings that have flat roofs.

CONCLUSIONS

From the standpoint of energy efficiency, SMUD evaluation has found that the planting of trees to directly shade buildings and rebating the installation of cool roof products is a cost-effective energy efficiency strategy for SMUD and highly valued by its customers. Although the energy saving impacts of the shade tree plantings vary dramatically depending on the tree orientation (West side is the best), tree size (Large size trees are the best) and distance to the building (the closer the better), in the average the impact evaluation SMUD studies have revealed that the average cooling energy and demand savings per mature tree for central air & heat pumps homes in the Sacramento climate zone (hot and dry summer) was 153 kWh and 0.056 kW. In addition, SMUD staff had created a free Internet based Tree Benefits Estimator tool which is posted on SMUD Web site (www.SMUD.org) and which takes into account all of different climate zones in the US.

With respect to cool roof technologies, SMUD staff had estimated conservatively the following average energy and demand savings for the Commercial Cool Roof Program participants: Average

energy cooling load savings of 20%, Average energy cooling load savings are 0.15 kWh/year/ft², and Average demand savings are 0.25 W/ ft². There is a dramatic difference in energy savings between the low and steep slope residential cool roof applications. In general residential buildings with flat (or low slope) roofs generate much higher levels of energy savings for this program. SMUD staff has estimated that flat or low slope cool roofs can generate on average annually 1,840 KWh in energy savings (based on the average size 1,539 sq.ft. homes). On the other hand, the estimates for the average weighted energy savings for the steep slope roof applications (based on 1,694 sq.ft. average home size and given the average building vintage mix) is 417 KWh annually.

This successful outcome of the two programs (Shade Tree and Cool Roof) is the result of the fact that SMUD Board of Directors and management had made an enduring commitment to the long-term Shade Tree and Cool Roof programs goals. In addition, the program monitoring and evaluation has been an important part of that commitment. The program management has been receptive to evaluation recommendations and committed to implementing them to improve the program delivery.

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